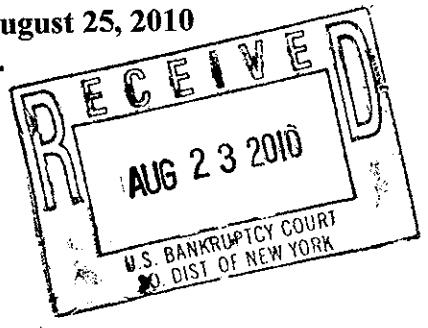


RAFAEL SOSA
Creditor,
Pro Se
346 W. Hornbeam Dr.
Longwood, FL 32779
(321) 972-4769

Hearing Date: August 25, 2010
Time: 9:30 a.m.



**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re:

Chapter 11

JESUP & LAMONT, INC.,

Case No. 10-14133 (AJG)

Debtor.

**AFFIDAVIT OF
OBJECTION**

**OBJECTION TO DEBTOR'S APPLICATION FOR AUTHORITY TO PAY
CERTAIN PRE-PETITION WAGES, SALARIES, COMMISSIONS AND OTHER
EMPLOYEE BENEFITS**

**STATE OF FLORIDA)
ss:
COUNTY OF SEMINOLE)**

I, RAFAEL SOSA, being duly sworn, says:

1. I, reside at 346 W. Hornbeam Dr., Longwood, Florida 32779.
2. I am a former employee of **JESUP & LAMONT, INC.**, Debtor, having received a verbal termination on or about June 29, 2010.
3. This Affidavit is submitted in partial opposition to the Debtor's application to pay petition wages, salaries, commissions and other employee benefits.
4. The basis for my objection is that the amount of money listed as due and owing to me is incorrect, preventing a fair resolution and defeating the purported purpose of the Application.

5. Page 3 of exhibit A estates that I am only owed \$1,797.94, whereas, I am owed the total sum of \$13,543.92, as follows:

April 2010	\$4,794.49 commissions due
May 2010	\$14,883.45 commissions due
June 2010	\$2,137.68 commissions due
Total commissions due \$21,818.62	
Minus, paid 6/15/10	\$9,469.64
Balance due commissions	\$12,348.98
Plus Insurance refund due	\$1,194.94
Total Due	\$13,543.92

6. On June 28, 2010, I e-mailed a breakdown of the sum due and owing to me. A copy of email is attached.

7. The failure to list the total amount due and owed to me appears to be an act of bad faith.

8. The financial hardship caused by loosing my job has been very difficult.

9. The burden has been compounded by the Debtor's refusal to pay all past due sums owed to me.

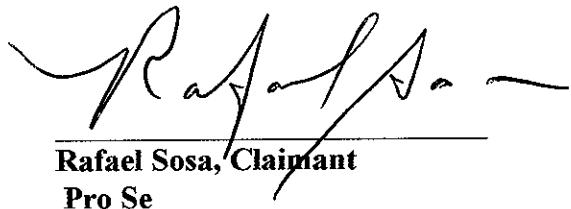
10. To grant the Debtor's Application without fully paying me defeats the alleged intent and purpose of the application itself.

11. On August 11, 2010, the Court received a copy of my B-10 Proof of Claim. A copy of which is attached with proof of receipt.

WHEREFORE, I respectfully request that my claim be fully resolved for the

maximum allowable sum of \$11,725 under the Priority granted by and pursuant to, 11 U.S.C. SEC. 507(a) and that I be granted such other and further relief as this Court may deem just and equitable.

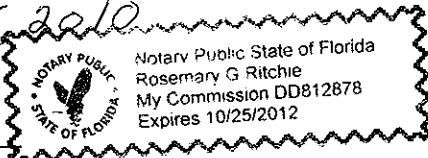
Dated: August 16, 2010


Rafael Sosa, Claimant
Pro Se

Sworn to before me

The 17th day of August 2010


Rosemary G. Ritchie
Notary Public

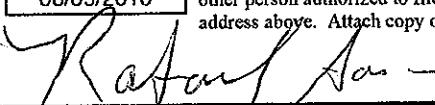


SivermanAcampora, LLP
Proposed Attorneys for Jesup and Lamont, Inc.
100 Jericho Quadrangle, Suite 300
Jericho, New York 11753
(516)479-6300
Ronald J. Friedman
Adam L. Rosen
Attn: Sheryl P. Busell

Clerk the United States Bankruptcy Court
Alexander Hamilton U.S Custom House
One Bowling Green
New York, NY 10004-1408

Chambers of Judge Arthur J. Gonzalez
One Bowling Green
New York, NY 10004

United States Trustee
33 Whitehall 21st Floor
New York, NY 10004
Attn: Brian S. Masumoto

UNITED STATES BANKRUPTCY COURT Southern District of New York		PROOF OF CLAIM
Name of Debtor: Jesup & Lamont Rafael Sosa		Case Number: 10-14133
NOTE: This form should not be used to make a claim for an administrative expense arising after the commencement of the case. A request for payment of an administrative expense may be filed pursuant to 11 U.S.C. § 503.		
Name of Creditor (the person or other entity to whom the debtor owes money or property): Rafael Sosa		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.
Name and address where notices should be sent: 346 W Hornbeam Drive Longwood, Florida 32779		Court Claim Number: (If known)
Telephone number: (407) 765-5813		Filed on: _____
Name and address where payment should be sent (if different from above): Telephone number: 		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
1. Amount of Claim as of Date Case Filed: \$ 12,348.98		<input type="checkbox"/> Check this box if you are the debtor or trustee in this case.
If all or part of your claim is secured, complete item 4 below; however, if all of your claim is unsecured, do not complete item 4.		5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any portion of your claim falls in one of the following categories, check the box and state the amount.
If all or part of your claim is entitled to priority, complete item 5.		Specify the priority of the claim.
<input type="checkbox"/> Check this box if claim includes interest or other charges in addition to the principal amount of claim. Attach itemized statement of interest or charges.		<input type="checkbox"/> Domestic support obligations under 11 U.S.C. §507(a)(1)(A) or (a)(1)(B).
2. Basis for Claim: <u>Wages and Commissions</u> (See instruction #2 on reverse side.)		<input checked="" type="checkbox"/> Wages, salaries, or commissions (up to \$11,725*) earned within 180 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier – 11 U.S.C. §507 (a)(4).
3. Last four digits of any number by which creditor identifies debtor: <u>5978</u>		<input type="checkbox"/> Contributions to an employee benefit plan – 11 U.S.C. §507 (a)(5).
3a. Debtor may have scheduled account as: _____ (See instruction #3a on reverse side.)		<input type="checkbox"/> Up to \$2,600* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use – 11 U.S.C. §507 (a)(7).
4. Secured Claim (See instruction #4 on reverse side.) Check the appropriate box if your claim is secured by a lien on property or a right of setoff and provide the requested information.		<input type="checkbox"/> Taxes or penalties owed to governmental units – 11 U.S.C. §507 (a)(8).
Nature of property or right of setoff: <input type="checkbox"/> Real Estate <input type="checkbox"/> Motor Vehicle <input type="checkbox"/> Other Describe: Value of Property: \$ _____ Annual Interest Rate _____ %		<input type="checkbox"/> Other – Specify applicable paragraph of 11 U.S.C. §507 (a) _____
Amount of arrearage and other charges as of time case filed included in secured claim, if any: \$ _____ Basis for perfection: _____		Amount entitled to priority: \$ <u>11,725.00</u>
Amount of Secured Claim: \$ _____ Amount Unsecured: \$ _____		
6. Credits: The amount of all payments on this claim has been credited for the purpose of making this proof of claim.		
7. Documents: Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. You may also attach a summary. Attach redacted copies of documents providing evidence of perfection of a security interest. You may also attach a summary. (See instruction 7 and definition of "redacted" on reverse side.)		
DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING.		
If the documents are not available, please explain:		
Date: 08/05/2010	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.	
 		FOR COURT USE ONLY

**Amounts are subject to adjustment on 4/1/13 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment.*

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571.

Subject FW: Money owed by Firm
From Rafael Sosa <rsosa@jesuplamont.com>
Date Friday, July 2, 2010 4:01 pm
To r211s@optonline.net

Rafael Sosa

*Managing Director
 Equity Trading
 Jesup & Lamont Securities Corp
 407-774-1334
 rsosa@jesuplamont.com*

*From: Rafael Sosa
 Sent: Wed 6/30/2010 7:29 AM
 To: Steve Rabinovici; Alan Weichselbaum
 Subject: Fwd: Money owed by Firm*

*From: "Rafael Sosa" <rsosa@jesuplamont.com>
 Date: June 28, 2010 9:28:05 AM EDT
 To: "Roger Morel" <rmorel@jesuplamont.com>
 Cc: "William Holub" <wholub@jesuplamont.com>, "Brian Reschke" <breschke@jesuplamont.com>
 Subject: Money owed by Firm*

Hi Roger,

So far this is what the company owes me:

April \$4,797.49

May \$14,883.45

June \$2,137.68

Total \$21,818.62

Minus Paid -\$9,469.64

Still Due \$12,348.98

The differences were reconciled and we have additional money for this month. As you know all my bank accounts were frozen by Child support due to lack of payment. Please see how this can be paid as soon as possible.

Thanks,

Rafael Sosa

Equity Trading Managing Director

Jesup and Lamont Securities

407-774-1334

Cell 407-765-5813

Jesup & Lamont Securities

623 Fifth Avenue

New York, NY 10022

Tel: 212-307-2660

Fax: 212-757-7478

Message from: rsosa@jesuplamont.com

Message to: r211s@optonline.net

Attached files: 0

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